

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
13 December 2001 (13.12.2001)

PCT

(10) International Publication Number  
**WO 01/94016 A1**

(51) International Patent Classification<sup>7</sup>: **B01L 3/00** //  
B01J 19/00

Herts SG12 0DP (GB). **BIDDLECOMBE, Robert, Anthony** [GB/GB]; Glaxo Wellcome plc., Park Road, Ware, Herts SG12 0DP (GB).

(21) International Application Number: PCT/EP01/04880

(22) International Filing Date: 2 May 2001 (02.05.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0013619.2 6 June 2000 (06.06.2000) GB

(71) Applicant (for all designated States except US): **GLAXO GROUP LIMITED** [GB/GB]; Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex UB6 ONN (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **VEITCH, Jeffrey, Douglas** [GB/GB]; Glaxo Wellcome plc., Park Road, Ware,

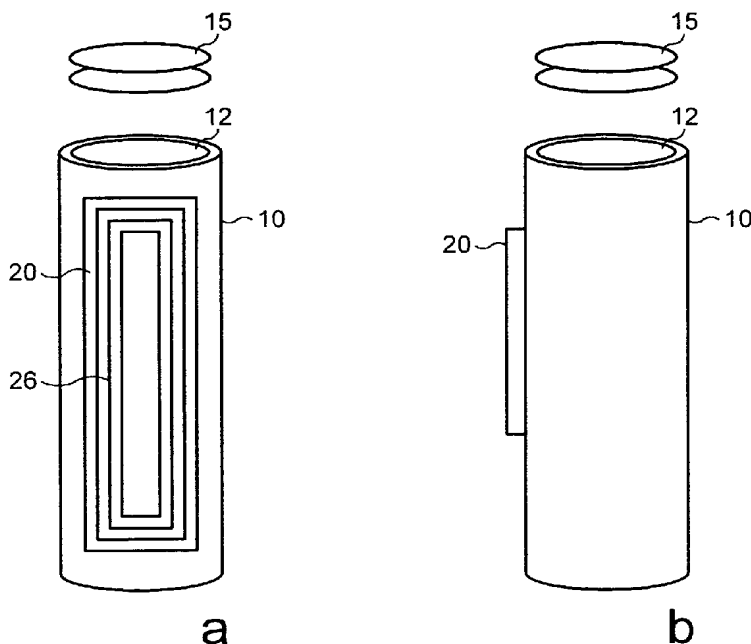
(74) Agent: **PIKE, Christopher, Gerard**; Pike & Co., Hayes loft, 68A Hayes Place, Marlow, Buckinghamshire SL7 2BT (GB).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: SAMPLE CONTAINER WITH RADIOFREQUENCY IDENTIFIER TAG



(57) Abstract: There is provided a sample container comprising a retainer for retaining a sample; and a radiofrequency identifier comprising an antenna for transmitting or receiving radiofrequency energy; an integrated circuit chip connecting with said antenna; and a carrier for the radiofrequency identifier; wherein the radiofrequency identifier is on the carrier and the carrier connects to the retainer.



WO 01/94016 A1



**Published:**

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## SAMPLE CONTAINER WITH RADIOFREQUENCY IDENTIFIER TAG

**Technical Field**

5

The present invention relates to a radiofrequency identifier (RFID) tag which is attached to a sample container as an identification aid. In particular the invention relates to the application of RFID tags to identify sample containers used in pharmaceutical clinical trials.

10

**Background to the Invention**

15

There is a continued need within research and development organisations, particularly in the chemical and biological fields, to be able to identify individual samples undergoing preparation or analysis. In the pharmaceutical industry, these samples generally take the form of clinical, biological or chemical samples. The growing trend towards automation of sample preparation and assay within the pharmaceutical industry to satisfy new drug discovery, evaluation and clinical trial programmes, greatly increase the needs to identify unique samples accurately and rapidly.

20

25

Clinical trial studies, such as metabolism and toxicity studies, are used to aid development of potential drug candidates. These studies must comply with Good Laboratory Practice (GLP) and other regulatory requirements to satisfy national authorities where registration of the new drug is sought. In many cases, additional compliance requirements, such as Good Manufacturing Practice (GMP), must also be satisfied.

30

The processing, storing and handling of sample data, particularly of clinical trials samples, requires careful control to ensure data quality and security. It is now

common practice within the pharmaceutical industry to attach textual and/or bar coded labels to clinical trial samples to identify them via a unique bar coded identifier. Whilst the use of bar codes has greatly improved the speed and accuracy of sample identification compared to sample identification based solely on visual text  
5 recognition, such processes are still time consuming and prone to errors.

Considerable time is required to scan, and often re-scan, individual bar coded samples into databases, prior to any sample preparation or assay. The 'line of sight' requirement for a bar code reader, together with the need for scanning a flat surface,  
10 also imposes restrictions on the speed and accuracy of reading. These restrictions on readability often lead to samples being manually entered into databases, thereby introducing more errors and time delays into the process.

The Applicants have now devised a method for identifying and recording samples  
15 which address the aforementioned problems associated with conventional, bar coded techniques. The method involves the attachment of a RFID tag to a sample container, the RFID tag having a memory structure which allows for large amounts of information to be stored thereon. The memory structure can be arranged such that parts of the memory are read-only, other parts are read/write and further parts are  
20 encrypted and password protectable. Transfer of information to or from the memory is readily achievable by the use of a reader that is typically remote from the sample container. The use of such readers thus eliminates the 'line of sight' requirement described above for barcodes and minimises the need for any manual handling. In further aspects, the reader can be arranged to simultaneously read and write to the  
25 memory of multiple RFID tags on multiple sample containers. The invention may be used alone or in combination with existing identification systems, such as barcode and textual identifiers.

A principal advantage of the present invention is the ability to store many types of  
30 information in different parts of the memory structure of the RFID tag. The information could, for example, include clinical trial compliance information written to

the memory at various time points in the trial process, thereby providing a detailed and readily accessible sample history of the clinical trial sample. The information could also include a unique serial number stored in encrypted form or in a password protectable part of the memory that uniquely identifies the sample container.

5

United States patent number 5,963,136 describes an interactive prescription compliance and life safety system which provides remote and on site verification of procedures related to the health status of a patient, including the taking of medicines. One element of this system is the use of a RFID tag attached to a vial

10

United States patent number 5,771,657 describes an automatic prescription dispensing and packaging system whereby empty prescription bottles are labelled and loaded in assigned locations in a carrier. The carriers are identified by use of a

15

RFID tag and associated reader.

### Summary of Invention

20

According to one aspect of the present invention there is provided a sample container comprising a retainer for retaining a sample; and a radiofrequency identifier comprising an antenna for transmitting or receiving radiofrequency energy; an integrated circuit chip connecting with the antenna; and a carrier for the radiofrequency identifier; wherein the radiofrequency identifier is on the carrier and

25

the carrier connects to the retainer.

30

The radiofrequency identifier can be any known radiofrequency identifier. Such identifiers are sometimes known as radiofrequency transponders or radiofrequency identification tags. Suitable radiofrequency identifiers include those sold by Phillips Semiconductors of the Netherlands under the trade marks Hitag, and Icode those sold by Amtech Systems Corporation of the United States of America under the

trade mark Intellitag, and those sold by Texas Instruments of the United States of America under the trade mark Tagit.

In one aspect, the retainer is selected from the group consisting of tube, vial, well  
5 and microscope slide.

In another aspect, the retainer is sealable with a cap. The cap may, for example, be a screw lid cap or a snap-fit cap.

10 In a further aspect, the retainer comprises material selected from the group consisting of glass, organic polymer and metal.

In one aspect, the antenna is capable of transmitting or receiving radiofrequency energy having a frequency of 50 KHz to 2.5 GHz. The ultra high frequency (UHF)  
15 spectrum falls within this range. Preferably the antenna is adapted to transmit or receive radiofrequency energy having a frequency of 125 KHz. More preferably, the antenna is adapted to transmit or receive radiofrequency energy having a frequency of 13.56 MHz. Most preferably, the antenna is adapted to transmit or receive radiofrequency energy having a frequency of 2.4 GHz.

20 In another aspect, the radiofrequency identifier is adhesively mountable on the sample container. The carrier may, for example, be mounted on the sample container by use of an appropriate adhesive. The adhesive should be resistant to the temperature and pressure extremes (such as freezing, boiling, autoclaving)  
25 which the sample containers may be exposed to. Similarly, the RFID tag must be capable of functioning following exposure to high/low temperatures and pressures; typically the tags will operate following sterilisation in an oven (220° Celsius) or an autoclave (135° Celsius at 3 bar with direct exposure to saturated steam) and/or freezing at -200° Celsius during cold storage and/or sample preparation in liquid  
30 nitrogen. Preferably, the carrier is a rigid disc. More preferably, the carrier is a

flexible label. Most preferably the carrier is a ring. Optionally, the carrier is mouldable to the retainer or the cap.

In a further aspect, the carrier bears a barcode thereon. The use of a barcode offers an additional means of identification. The RFID tags herein described may be used in combination and/or integrated with other traditional product labelling methods including visual text, machine-readable text and dot codes.

In yet another aspect, the carrier encases the radiofrequency identifier. Preferably, the carrier forms a hermetic seal for the radiofrequency identifier. More preferably, the carrier comprises an insulating material. Most preferably, the insulating material comprises a glass material.

In one aspect, the integrated circuit chip has a read only memory area.

In another aspect, the integrated circuit chip has a write only memory area.

In a further aspect, the integrated circuit chip has a read/write memory area.

Preferably, the integrated circuit chip has a one-time programmable memory area. More preferably, the one-time programmable memory area has a unique serial number. Most preferably, the integrated circuit chip has a pre-set, non-read or writeable memory area.

In one aspect, the integrated circuit chip has plural memory areas thereon. Preferably, any memory area contains data in encrypted form. More preferably, any memory area is password protected.

In a further aspect, the integrated circuit chip has plural memory areas thereon including a read only memory area containing a unique serial number, a read/write

memory area which can be made read only; and a password protected memory area containing data in encrypted form.

In another aspect, the sample container retains a biological sample.

5

Preferably, the biological sample is for use in studies selected from the group consisting of clinical trials, pharmacokinetic studies, metabolism studies, toxicity studies and environmental fate studies.

10 More preferably, the biological sample is derived from material selected from the group consisting of water, soil, prion, virus, fungus, alga, bacterium, insect, nematode, fish, reptile, plant, bird, mammal and human.

15 More preferably, the biological sample is selected from the group consisting of blood, plasma, urine, cerebral spinal fluid, faeces, cell and tissue. Most preferably, the biological sample is selected from the group consisting of protein, peptide, amino acid, antibody, carbohydrate, oligosaccharide, lipid, glycolipid, glyceride, polynucleotide, oligonucleotide and nucleotide.

20 In a further aspect, the sample container retains a chemical sample.

Preferably, the chemical sample is for use in an analytical assay or synthesis reaction.

25 Preferably, the chemical sample is a drug candidate.

Optionally, the chemical sample is an agrochemical. Preferably, the agrochemical is selected from the group consisting of herbicide, insecticide, fungicide, rodenticide, nematocide, acaricide and plant growth regulator.

30



Optionally, the chemical sample is a marking material. Preferably, the marking material is selected from the group consisting of toner, ink, dye, pigment, acid and alkali.

5    Optionally, the chemical sample is a light-sensitive material.

According to another aspect of the present invention, there is provided a system for identifying a sample container comprising a sample container according to the present invention; a carrier for supporting the sample container; and a reader for  
10    reading data from the radiofrequency identifier by transmitting radiofrequency energy thereto and receiving radiofrequency energy therefrom.

In one aspect, the system comprises a plurality of sample containers supported within a carrier wherein the reader is capable of reading each radiofrequency  
15    identifier separately by differentiating between individual radiofrequency identifiers within the same antenna field.

Preferably, the carrier is selected from the group consisting of rack, carousel, array, micro well plate, square well plate, collection plate and tube block.

20

In another aspect, the reader is capable of reading multiple radiofrequency identifiers simultaneously by differentiating between individual radiofrequency identifiers within the same antenna field.

25    In a further aspect, the system additionally comprises a writer for writing data to the radiofrequency identifier by transmitting radiofrequency energy thereto. Preferably the writer forms part of the reader, which is thus a 'reader/writer'. More preferably, the reader/writer is capable of writing to multiple radiofrequency identifiers simultaneously by differentiating between individual radiofrequency identifiers within  
30    the same antenna field. Advantages of such a capability are both speed and the reduction in transcription errors.

In one aspect, the reader is capable of reading and/or writing to one or more radiofrequency identifiers simultaneously at low temperature within the range of 4° Celsius to - 200° Celsius. Preferably, the temperature is in the range of -15° Celsius to -25° Celsius. More preferably, the temperature is in the range of -75° Celsius to -85° Celsius. Reading of, and writing to, the radiofrequency tag can therefore be conducted in a cold environment, such as a freezer or cold room.

In another aspect, the reader is connectable to a sample preparation device and the data are producible from the device. Preferably, the device is selected from the group consisting of weighing scales, solid dispensing system and liquid dispensing system.

In a further aspect, the system additionally comprises transferring the data from the reader to an electronic data management system comprising a memory for storage of data; a microprocessor for performing operations on said data; and a signal output for outputting a signal relating to the data or the outcome of an operation on the data.

Preferably, the electronic data management system is separate to the reader. More preferably the data are transferable from the reader to the electronic data management system via a public access or private access network computer system. The information can therefore be transferred from the reader to the electronic data management system via the Internet or a secure Intranet. Preferably the data are in encrypted form.

Optionally, the electronic data management system forms part of the reader.

Preferably, the electronic data management system forms part of a robotics system. More preferably, the robotics system employs an antenna to locate the position of the sample container according to the present invention.

In another aspect, the system additionally comprises a data input system for user input of data to the electronic data management system. Preferably, the data input system comprises a man machine interface. More preferably, the system  
5 additionally comprises a display for display of data from the electronic data management system to the user.

It is envisaged that data can be continuously read from sample containers within a controlled environment, such as a cold room or freezer, to determine their identity.

10 These data could, for example, be combined with ongoing assay results which are continuously monitored within the controlled environment, such as chemical stability data, to record the assay data with time. The data could then be transferred to the electronic data management system via the Internet or a secure intranet.

15 According to another aspect of the present invention, there is provided a kit of parts comprising a sample container according to the present invention and a reader capable of reading and/or writing to each radiofrequency identifier separately or simultaneously by differentiating between individual radiofrequency identifiers within the same antenna field.

20 In a further aspect of the present invention there is provided a reader to identify individual sample containers according to the present invention within the same antenna field by transmitting radiofrequency energy thereto and by receiving radiofrequency energy therefrom.

### **Brief Description of Drawings**

Embodiments of systems according to the invention will now be described with  
30 reference to the accompanying drawings in which:

Figure 1a is a schematic front perspective of a sample container according to the invention.

Figure 1b is a schematic side perspective of the sample container of Figure 1a.

Figure 2 is a simplified drawing of the memory of a RFID chip according to the invention.

Figure 3 is a schematic representation of a single sample container being identified according to the invention.

Figure 4 is a schematic representation of a reader identifying individual sample containers within a carrier.

Figure 5 is a schematic representation of a robotic arm system identifying sample containers at various workstations and/or peripheral devices.

### Detailed Description of the Drawings

Figure 1a is a front perspective showing a RFID tag 20 affixed to a sample container 10. Data can be received by, or transmitted from, the RFID chip (not shown) via antenna 26 which is connected to the chip. The antenna 26 is capable of receiving or transmitting radiofrequency energy over a wide bandwidth, ranging from 50 KHz to 2.5 GHz. A lid 15 may be pressed or screwed into position at the mouth 12 of container 10 to enclose the contents (not shown) of the container.

Figure 1b shows a side perspective of the sample container of Figure 1a. RFID tag 20 protrudes from the side of container 10 where it may be read or written to by a reader (not shown). To read data on the chip, the tag 20 is energised on receiving

radiofrequency energy from the reader and returns information to the reader in radiofrequency waveform.

Figure 2 is a schematic representation of the memory structure of the RFID chip 121.

5 Such tags are divided into unique blocks, typically numbering sixteen in total, with data being stored in non-volatile memory EEPROM, the EEPROM having a memory capacity of 512-2000 bits with each block consisting of 4 bytes. However, for the sake of simplicity, in the illustration shown in Figure 2 the tag is divided into four blocks 122-125 only.

10

The first block 122 contains unique tag identifiers such as serial numbers and any details specified by the purchaser, this information being in a read-only format and being encoded on the tag at the time of manufacture such that this information cannot be altered once set.

15

The second block 123 permits write access conditions to be determined for the third and fourth blocks 124 125, for example to allow read and write access to the remaining blocks. This block may be considered a 'secret area' in that access requires mutual authentication and enciphered data communications are used in this area. The second block 123 may be made read-only once information has been written to it; i.e. it may become one-time programmable.

20

The third block 124 enables special functionality to be written to the fourth block 125, for example to set encryption capabilities within this block 125.

25

The fourth block 125 can be considered to be a 'user' or 'public' area in that it may be programmed, by block two 123, such that information may be read from or written to it. This is generally the format in operation, information being read from and written to this area. Access can be password protected and data may be in encrypted format to enhance security.

30

In use, information from blocks one 122 (e.g. the unique serial number) will generally be used to identify the tag. Identification data (e.g. patient name, sex, age) will be transmitted from a writer (not shown) to block four 125, where it can be stored and accessed by a reader (not shown). Additional sample information data, such as date stamps/original sample weight or volume, may also be written to this area. Thus specific samples can be identified from the RFID tag throughout the lifetime of the sample; for example, from initial sampling to final chemical analysis. Any data generated on a particular sample can be transferred to an electronic database (not shown) where they will be specifically identified against the unique identifier on the RFID tag. The electronic database may, for example, be a personal computer or form part of a Laboratory Information Management System (LIMS) and/or electronic inventory system.

Figure 3 is a diagram of a RFID tag 220 on an individual sample container 210 being read by reader 230. The reader may, for example, be a hand held device which transmits radiofrequency energy to the tag 220 and receives radiofrequency energy therefrom. The unique identifier and/or unique details in the data populated memory on tag 220 will identify the particular sample container 210. The information on the tag 220 may then be transferred from the reader 230 to sensor 245 on a local electronic database 240, such as a personal computer, by wireless means (e.g. infrared energy). Any new data which will be generated for the sample, as identified by the unique identifier, can then be added to the electronic database 240. The electronic database 240 may transfer the data to, or may form part of, a LIMS (not shown).

Figure 4 shows a reader 330 reading sample container 310 held in a sample rack 350. The rack 350 holds a plurality of sample containers 310, each with a RFID tag 320. Each tag 320 has a unique identifier encoded on the memory chip (not shown). On receiving radiofrequency energy from the reader 330, tag 320 transmits information as radiofrequency energy to the antenna 326 on reader 330. This information uniquely identifies the sample container 310. The information may then

be transmitted to sensor 345 attached to a local electronic database 340 by wireless means, such as infra red energy. Each sample container 310 will therefore be identified and any additional data, generated for example in a chemical analysis, may be added to the information for the specific sample on the database. The date and time of generation of the data will also be recorded. The database 340 may transfer the information to, or form part of, a LIMS (not shown), thereby providing a complete electronic history of the clinical trial sample.

Figure 5 shows a robotic arm system 470 identifying sample containers 410 at workstations 460-465. The robotic arm system 470 may be used to carry out a series of operations on the samples within each sample container 410, such as a chemical reaction/assay. The RFID tags 420 are used to identify each sample container 410 throughout the procedure.

The robotic arm 472 is free to rotate 360 degrees around its axis, thereby accessing workstations and/or peripheral devices 460 to 465. RFID tags 420 are attached to sample containers 410 within rack 450. The robotic arm can move the rack 450 to each of the workstations and/or peripheral device 460-465 in order that different operations are carried out on the samples within each sample container 410.

In the example shown in Figure 5, involving a typical chemical analysis, a reader/writer 430 attached to the robotic arm 472 identifies each sample container 410 in rack 450 by energising the RFID tag 420 and receiving radiofrequency energy carrying the unique identification number. Having identified the sample container 410, a solvent is added to each container by a liquid dispenser at workstation 460. This information, such as the nature/volume of the liquid and date/time of addition, may be written (not shown) to the RFID tag 420 by the liquid dispenser. The rack 450 is then moved by the robotic arm 472 to the second workstation 461 where the samples in the sample containers 410 are dried. On moving rack 450 to the third work station 462, each sample container 410 is again identified by the reader 430 and a known volume of diluent added (again this information may be transmitted to

the RFID tag 420 by the liquid dispenser – not shown). The rack 450 is moved to the fourth work station 463 and a liquid reagent added to each sample container 410 by a dispenser, following identification by reader 430 on the robotic arm. The dispenser may transmit information regarding the reagent to the RFID tag 420.

5 Incubation of the sample containers 410 occurs on moving the rack 450 to a heated water bath at workstation five 464. The reaction is terminated by the addition of a liquid reagent to each sample container 410 at the sixth work station 465 following identification using the RFID tag as described above.

10 It may be appreciated that any of the parts of the invention herein described which contact the sample (such as a chemical, biological or medical sample) may be coated with materials such as fluoropolymer materials which reduce the tendency of the sample to adhere thereto. Suitable fluoropolymers include polytetrafluoroethylene (PTFE) and fluoroethylene propylene (FEP).

15

It will be understood that the present disclosure is for the purpose of illustration only and the invention extends to modifications, variations and improvements thereto.

The application of which this description and claims form part may be used as a  
20 basis for priority in respect of any subsequent application. The claims of such subsequent application may be directed to any feature or combination of features described therein. They may take the form of product, method or use claims and may include, by way of example and without limitation, one or more of the following claims:

25



## Claims

1. A sample container comprising a retainer for retaining a sample; and

5 a radiofrequency identifier comprising

an antenna for transmitting or receiving radiofrequency energy;

an integrated circuit chip connecting with said antenna; and

10 a carrier for said radiofrequency identifier;

wherein the radiofrequency identifier is on said carrier and the carrier connects to said retainer.

15 2. A sample container according to claim 1, wherein the retainer is selected from the group consisting of tube, vial, well and microscope slide.

3. A sample container according to either of claims 1 or 2, wherein the retainer is  
20 sealable with a cap.

4. A sample container according to any of claims 1 to 3, wherein the retainer comprises material selected from the group consisting of glass, organic polymer and metal.

25 5. A sample container according to any of claims 1 to 4, wherein said antenna is capable of transmitting or receiving radiofrequency energy having a frequency of 50 KHz to 2.5 GHz.

30 6. A sample container according to claim 5, wherein the antenna is adapted to transmit or receive radiofrequency energy having a frequency of 125 KHz.

7. A sample container according to claim 5, wherein the antenna is adapted to transmit or receive radiofrequency energy having a frequency of 13.56 MHz.

5 8. A sample container according to claim 5, wherein the antenna is adapted to transmit or receive radiofrequency energy having a frequency of 2.4 GHz.

9. A sample container according to any of claims 1 to 8, wherein said carrier is adhesively mountable on the sample container.

10

10. A sample container according to claim 9, wherein the carrier is a rigid disc.

11. A sample container according to claim 9, wherein the carrier is a flexible label.

15 12. A sample container according to claim 9, wherein the carrier is a ring.

13. A sample container according to any of claims 1 to 12, wherein the carrier is mouldable to the retainer or said cap.

20 14. A sample container according to any of claims 1 to 13, wherein the carrier bears a barcode thereon.

15. A sample container according to any of claims 1 to 14, wherein the carrier encases the radiofrequency identifier.

25

16. A sample container according to claim 15, wherein the carrier forms a hermetic seal for the radiofrequency identifier.

30 17. A sample container according to any of claims 1 to 16, wherein the carrier comprises an insulating material.

18. A sample container according to claim 17, wherein said insulating material comprises a glass material.

19. A sample container according to any of claims 1 to 18, wherein said  
5 integrated circuit chip has a read only memory area.

20. A sample container according to any of claims 1 to 19, wherein the integrated circuit chip has a write only memory area.

10 21. A sample container according to any of claims 1 to 20, wherein the integrated circuit chip has a read/write memory area.

22. A sample container according to any of claims 1 to 21, wherein the integrated circuit chip has a one-time programmable memory area.

15

23. A sample container according to claim 22, wherein said one time programmable memory area has a unique serial number.

24. A sample container according to any of claims 1 to 23, wherein the integrated  
20 circuit chip has a pre-set, non-read or writeable memory area.

25. A sample container according to any of claims 19 to 24, wherein the integrated circuit chip has plural memory areas thereon.

25 26. A sample container according to any of claims 19 to 25, wherein any memory area contains data in encrypted form.

27. A sample container according to any of claims 19 to 26, wherein any memory area is password protected.

30

28. A sample container according to any of claims 1 to 27, wherein the integrated circuit chip has plural memory areas thereon including

a) a read only memory area containing a unique serial number

5

b) a read/write memory area which can be made read only; and

c) a password protected memory area containing data in encrypted form.

10 29. A sample container according to any of claims 1 to 28, wherein the sample container retains a biological sample.

30. A sample container according to claim 29, wherein said biological sample is for use in studies selected from the group consisting of clinical trials, metabolism  
15 studies, pharmacokinetic studies, toxicity studies and environmental fate studies.

31. A sample container according to either of claims 29 or 30, wherein the biological sample is derived from material selected from the group consisting of water, soil, prion, virus, fungus, alga, bacterium, insect, nematode, fish, reptile, plant,  
20 bird, mammal and human.

32. A sample container according to any of claims 29 to 31, wherein the biological sample is selected from the group consisting of blood, plasma, urine, cerebral spinal fluid, faeces, cell and tissue.

25

33. A sample container according to any of claims 29 to 32, wherein the biological sample is selected from the group consisting of protein, peptide, amino acid, antibody, carbohydrate, oligosaccharide, lipid, glycolipid, glyceride, polynucleotide, oligonucleotide and nucleotide.

30

34. A sample container according to any of claims 1 to 28, wherein the sample container retains a chemical sample.

35. A sample container according to claim 34, wherein said chemical sample is  
5 for use in an analytical assay or synthesis reaction.

36. A sample container according to either of claims 34 or 35, wherein the chemical sample is a drug candidate.

10 37. A sample container according to claim 34, wherein the chemical sample is an agrochemical.

38. A sample container according to claim 37, wherein said agrochemical is selected from the group consisting of herbicide, insecticide, fungicide, rodenticide,  
15 nematocide, acaricide and plant growth regulator.

39. A sample container according to claim 34, wherein the chemical sample is a marking material.

20 40. A sample container according to claim 39, wherein said marking material is selected from the group consisting of toner, ink, dye, pigment, acid and alkali.

41. A sample container according to claim 34, wherein the chemical sample is a light-sensitive material.

25 42. A system for identifying a sample container comprising a sample container according to any of claims 1 to 41; and

a carrier for supporting the sample container; and

a reader for reading data from the radiofrequency identifier by transmitting radiofrequency energy thereto and receiving radiofrequency energy therefrom.

43. A system according to claim 42, comprising a plurality of sample containers supported within a carrier wherein said reader is capable of reading each radiofrequency identifier separately by differentiating between individual radiofrequency identifiers within the same antenna field.

44. A system according to either of claims 42 or 43, wherein said carrier is selected from the group consisting of rack, carousel, array, micro well plate, square well plate, deep well plate, solid phase extraction block and tube block.

45. A system according to either of claims 43 or 44, wherein the reader is capable of reading multiple radiofrequency identifiers simultaneously by differentiating between individual radiofrequency identifiers within the same antenna field.

46. A system according to any of claims 42 to 45, additionally comprising a writer for writing data to the radiofrequency identifier by transmitting radiofrequency energy thereto.

47. A system according to claim 46, wherein said writer is capable of writing to multiple radiofrequency identifiers simultaneously by differentiating between individual radiofrequency identifiers within the same antenna field.

48. A system according to either of claims 46 or 47, wherein said writer forms part of the reader.

49. A system according to any of claims 42 to 48, wherein the reader is capable of reading and/or writing to one or more radiofrequency identifiers simultaneously at low temperature within the range of 4° Celsius to - 200° Celsius.

50. A system according to claim 49, wherein said temperature is in the range of -15° Celsius to -25° Celsius.

51. A system according to claim 49, wherein the temperature is in the range of  
5 -75° Celsius to -85° Celsius.

52. A system according to any of claims 48 to 51, wherein the reader is connectable to a sample preparation device and said data are producible from said device.

10 53. A system according to claim 52, wherein the device is selected from the group consisting of weighing scales, solid dispensing system and liquid dispensing system.

54. A system according to any of claims 42 to 53, additionally comprising  
15 transferring the data from the reader to an electronic data management system comprising

a memory for storage of data;

20 a microprocessor for performing operations on said data; and

a signal output for outputting a signal relating to the data or the outcome of an operation on the data.

25 55. A system according to claim 54, wherein said electronic data management system is separate to the reader.

56. A system according to either of claims 54 or 55, wherein the data are transferable from the reader to the electronic data management system via a public  
30 access or private access network computer system.

57. A system according to claim 54, wherein the electronic data management system forms part of the reader.

58. A system according to claim 54, wherein the electronic data management  
5 system forms part of a robotics system.

59. A system according to claim 58, wherein said robotics system employs an antenna to locate the position of the sample container according to claims 1 to 41.

10 60. A system according to any of claims 42 to 59, additionally comprising a data input system for user input of data to the electronic data management system.

61. A system according to claim 60, wherein said data input system comprises a man machine interface.

15 62. A system according to any of claims 54 to 60, additionally comprising a display for display of data from the electronic data management system to the user.

20 63. A kit of parts comprising a sample container according to any of claims 1 to 41 and a reader capable of reading and/or writing to each radiofrequency identifier separately or simultaneously by differentiating between individual radiofrequency identifiers within the same antenna field.

25 64. Use of a reader to identify individual sample containers according to any of claims 1 to 41 within the same antenna field by transmitting radiofrequency energy thereto and by receiving radiofrequency energy therefrom.



1 / 4

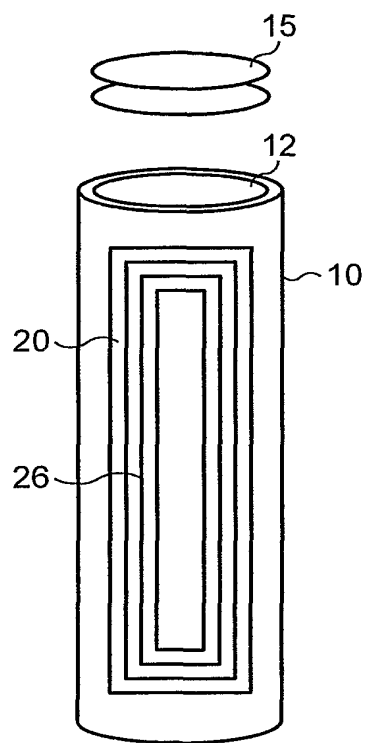


FIG. 1a

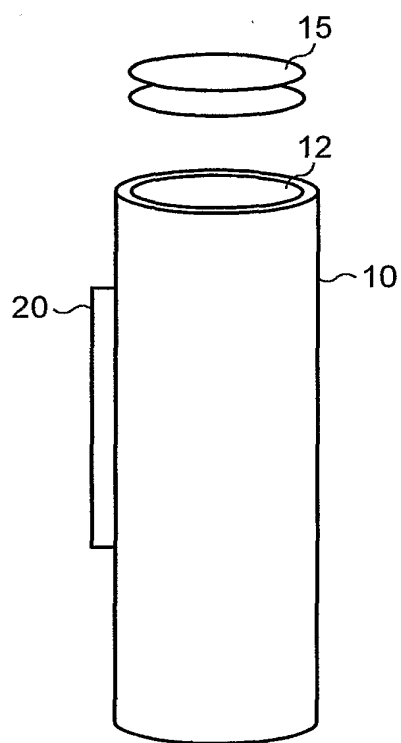


FIG. 1b

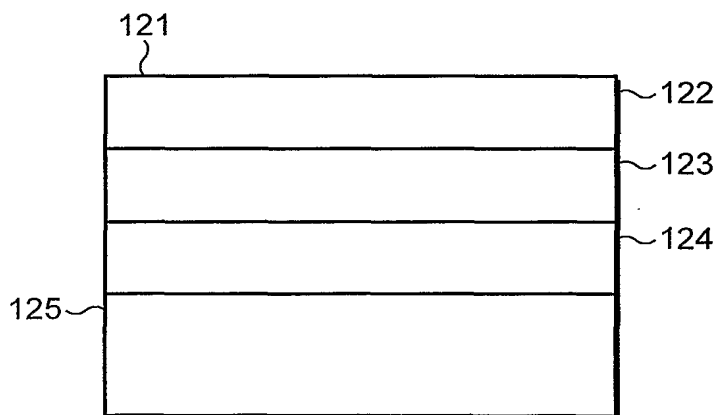


FIG. 2

2 / 4

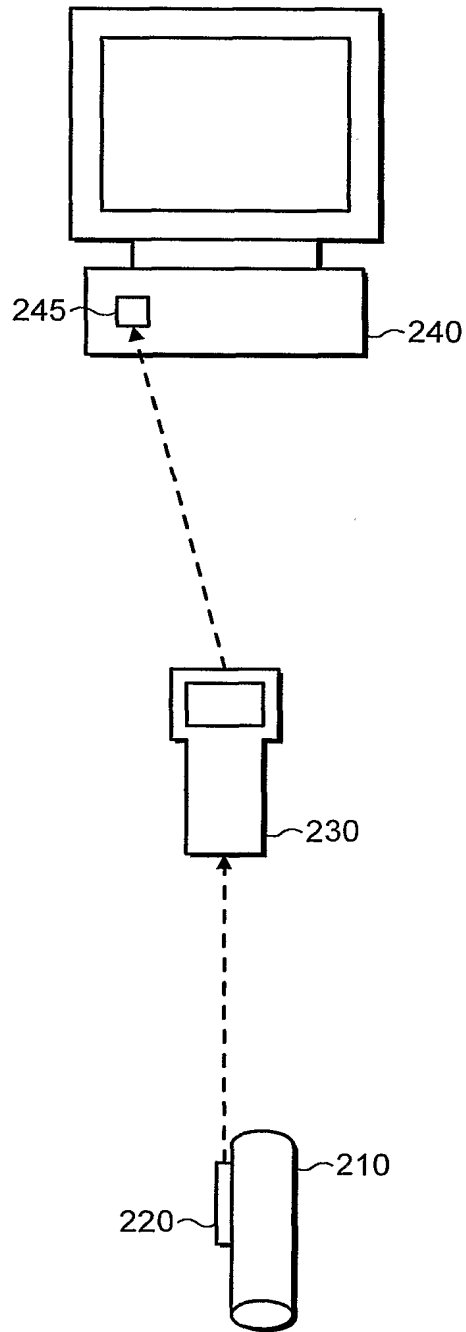


FIG. 3

3 / 4

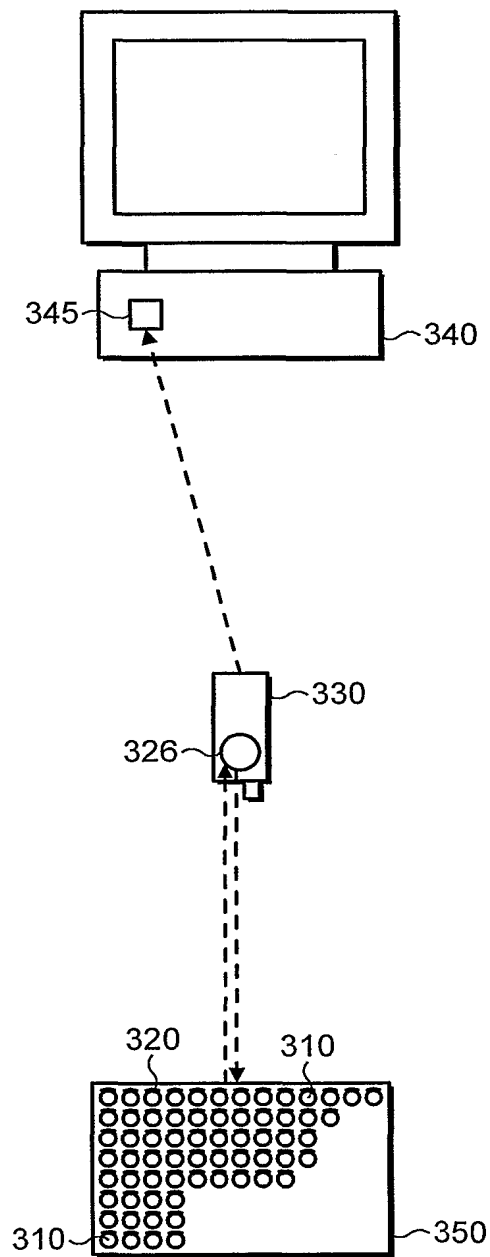


FIG. 4

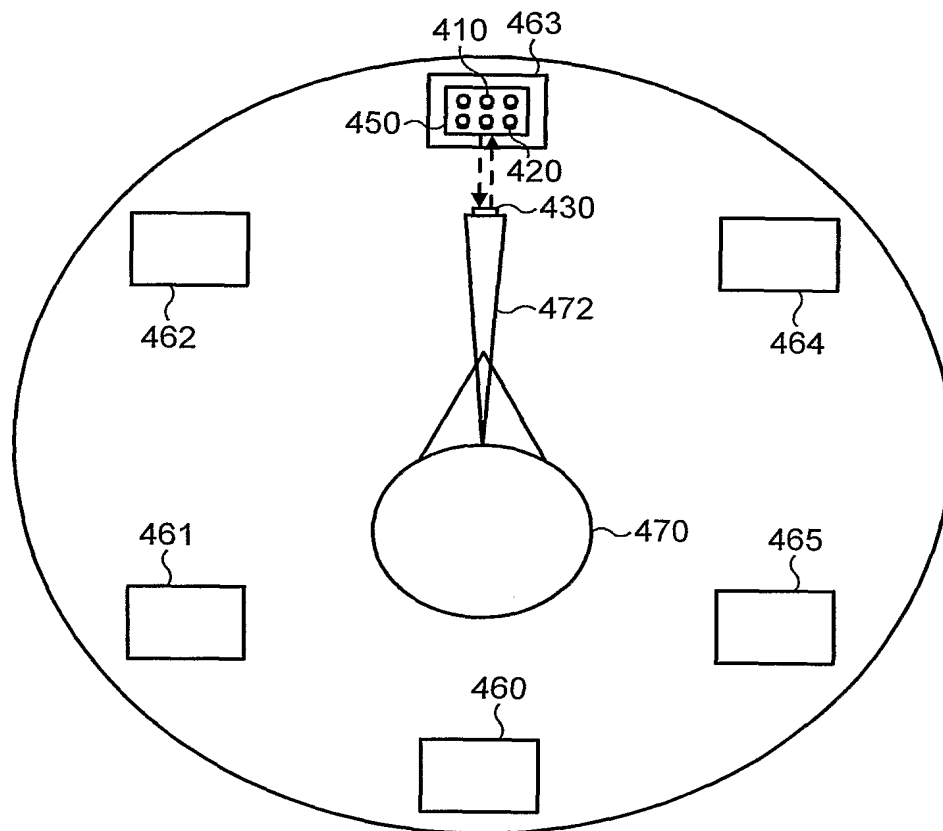


FIG. 5

## INTERNATIONAL SEARCH REPORT

Internal Application No  
PCT/EP 01/04880

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7 B01L3/00 //B01J19/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B01L G01N B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 874 214 A (NOVA MICHAEL P ET AL) 23 February 1999 (1999-02-23)	1-4, 15-19, 21,22, 24, 29-36, 42-47, 52,54, 55,62-64
Y	column 2, line 42 -column 3, line 23 column 4, line 55 -column 5, line 6 column 5, line 20 -column 5, line 39 column 5, line 60 -column 8, line 46  column 13, line 20 -column 15, line 14 column 15, line 49 -column 15, line 67 column 20, line 16 -column 21, line 18 -/--	5-8,14, 23,28, 48,53, 56,58, 60,61

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## ° Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

6 September 2001

Date of mailing of the international search report

13/09/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Koch, A

## INTERNATIONAL SEARCH REPORT

Internat Application No

PCT/EP 01/04880

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	<p>column 21, line 55 -column 22, line 14  column 26, line 6 -column 26, line 44  column 28, line 1 -column 31, line 17  column 33, line 23 -column 33, line 51  column 34, line 28 -column 34, line 34  column 45, line 45 -column 46, line 13  column 50, line 2 -column 50, line 50  figures 5,6</p> <p>-----</p>	
Y	<p>US 5 963 136 A (O'BRIEN CHARLES TERRENCE)  5 October 1999 (1999-10-05)  cited in the application  column 3, line 41 -column 3, line 52  column 4, line 27 -column 4, line 63  column 5, line 60 -column 6, line 51  column 9, line 36 -column 9, line 47  column 12, line 42 -column 12, line 51  figures 4-7</p> <p>-----</p>	<p>5-8,14,  23,56,  60,61</p>
Y	<p>US 5 771 657 A (RICE DENNIS WAYNE ET AL)  30 June 1998 (1998-06-30)  cited in the application  column 1, line 57 -column 2, line 25  column 3, line 35 -column 4, line 31  column 5, line 13 -column 5, line 37  column 5, line 66 -column 6, line 28  column 6, line 61 -column 7, line 15  column 9, line 8 -column 9, line 16  column 9, line 46 -column 10, line 26  column 10, line 61 -column 11, line 21  figures 1-9</p> <p>-----</p>	<p>28,48,  53,58</p>
P,X	<p>US 6 147 604 A (HUGH STEVEN C ET AL)  14 November 2000 (2000-11-14)</p> <p>column 4, line 19 -column 6, line 16  column 6, line 41 -column 6, line 51  column 7, line 35 -column 7, line 44  column 8, line 25 -column 8, line 36  figures 1-11</p> <p>-----</p>	<p>1,2,9,  11,15,  17,42,54</p>

## INTERNATIONAL SEARCH REPORT

Internat Application No

PCT/EP 01/04880

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5874214 A	23-02-1999	US 5751629 A	12-05-1998
		US 5925562 A	20-07-1999
		US 5741462 A	21-04-1998
		AU 707444 B	08-07-1999
		AU 5918596 A	29-11-1996
		AU 7257396 A	28-04-1997
		CA 2216645 A	21-11-1996
		CN 1181720 A	13-05-1998
		EP 0822861 A	11-02-1998
		EP 0853497 A	22-07-1998
		JP 11511238 T	28-09-1999
		WO 9636436 A	21-11-1996
		WO 9712680 A	10-04-1997
		US 6025129 A	15-02-2000
		US 6100026 A	08-08-2000
		US 5961923 A	05-10-1999
		US 6017496 A	25-01-2000
US 5963136 A	05-10-1999	AU 4982199 A	07-02-2000
		EP 1023711 A	02-08-2000
		WO 0004521 A	27-01-2000
		US 6150942 A	21-11-2000
US 5771657 A	30-06-1998	NONE	
US 6147604 A	14-11-2000	NONE	